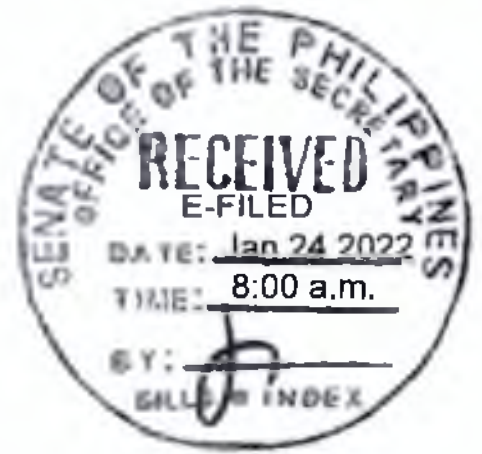


**,EIGHTEENTH CONGRESS OF THE  
REPUBLIC OF THE PHILIPPINES**  
Third Regular Session

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)



**SENATE**

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**COMMITTEE REPORT No. 444**

Submitted jointly by the Committees on Economic Affairs and Finance on Jan 24 2022

Re: Senate Bill No. **2479**

Recommending its approval in substitution of Senate Bill Nos. 788 and 1885

Sponsor: Senator Imee Marcos

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**MR. PRESIDENT:**

The Committees on Economic Affairs and Finance, to which were referred **Senate Bill No. 788**, introduced by Senator Risa Hontiveros, entitled:

**"AN ACT**

**ESTABLISHING A NATIONAL EVALUATION POLICY"**

and **Senate Bill No. 1885**, introduced by Senator Imee Marcos, entitled:

**"AN ACT  
ESTABLISHING A RESULT-BASED NATIONAL  
EVALUATION POLICY (RBNEP)"**

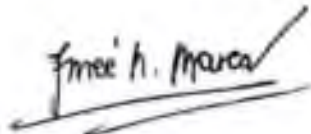
have considered the same and have the honor to report it back to the Senate with the recommendation that the attached **Senate Bill No. 2479**, prepared by the Committees, entitled:

**"AN ACT  
INSTITUTIONALIZING A RESULTS-BASED NATIONAL  
EVALUATION POLICY"**

be approved in substitution of SBN 788 and SBN 1885, with Senators Hontiveros, Marcos, Angara, and Revilla, Jr. as authors thereof.

Respectfully submitted:

Chairpersons

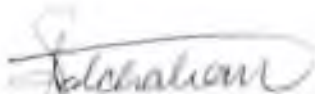


**SENATOR IMEE MARCOS**  
Committee on Economic Affairs  
Vice-Chairperson, Committee on Finance



**SENATOR SONNY ANGARA**  
Committee on Finance  
Vice-Chairperson, Committee on Economic Affairs

Vice-Chairpersons

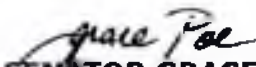


**SENATOR WIN GATCHALIAN**  
Committee on Economic Affairs  
Committee on Finance



**SENATOR PIA S. CAYETANO**  
Committee on Finance  
Member, Committee on Economic Affairs

  
**SENATOR CYNTHIA A. VILLAR**  
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**SENATOR RICHARD J. GORDON**  
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**SENATOR CHRISTOPHER "BONG" GO**  
Committee on Finance


  
**SENATOR RISA HONTIVEROS**  
Committee on Finance  
Member, Committee on Economic Affairs

**SENATOR RONALD "BATO" DELA ROSA**  
Committee on Finance

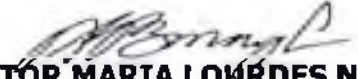
MEMBERS

  
**SENATOR MANUEL "LITO" M. LAPID**  
Committee on Economic Affairs  
Committee on Finance

  
**SENATOR RAMON BONG REVILLA JR.**  
Committee on Economic Affairs  
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Committee on Finance



**SENATOR FRANCIS "KIKO" PANGILINAN**  
Committee on Economic Affairs  
Committee on Finance

Ex Officio Members



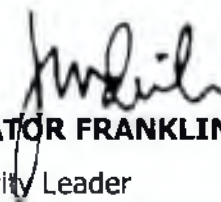
**SENATOR RALPH G. RECTO**

President Pro Tempore



**SENATOR JUAN MIGUEL F. ZUBIRI**

Majority Leader



**SENATOR FRANKLIN M. DRILON**

Minority Leader

**SENATOR VICENTE SOTTO III**

Senate President

EIGHTEENTH CONGRESS OF THE  
REPUBLIC OF THE PHILIPPINES  
*Third Regular Session*

SENATE  
S. No. 2479



(In substitution of Senate Bill Nos. 788 and 1885)

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Prepared and submitted jointly by the Committees on Economic Affairs and Finance  
with Senators Hontiveros, Marcos, Angara, and Revilla, Jr., as authors thereof

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**AN ACT INSTITUTIONALIZING A RESULTS-BASED NATIONAL EVALUATION  
POLICY**

*Be it enacted by the Senate and House of Representatives of the Philippines in  
Congress assembled:*

1           **SECTION 1. *Title.*** This Act shall be known as the "Results-Based National  
2 Evaluation Policy (RBNEP) Act."

3           **SECTION 2. *Declaration of Policy.*** – It is the policy of the State to ensure the  
4 relevance, efficiency, effectiveness, coherence, and impact of laws, policies, strategies,  
5 and programs, activities, and projects (PAPs) of the government, through the regular  
6 conduct and use of credible evaluations of its interventions to achieve its inclusive  
7 development and poverty reduction goals.

8           **SECTION 3. *Policy Objectives.*** The RBNEP intends to achieve the following  
9 objectives:

10                   a) Facilitate the institutionalization of an integrated evaluation system of  
11                   the government;

- 1           b) Ensure the timely provision to government decisionmakers of credible  
2           and useful evaluations in support of results-based formulation,  
3           planning, budgeting, implementation, and oversight of government  
4           interventions;
- 5           c) Ensure the systematic utilization of evaluation findings and  
6           recommendations for the continuous improvement of government  
7           interventions; and
- 8           d) Promote greater transparency and accountability for results of  
9           government departments, agencies, and other instrumentalities.

10           **SECTION 4. *Definition of Terms.*** The terms used in this Act are defined as  
11 follows:

- 12           a) **Government Interventions** refer to the laws, policies, strategies,  
13           and programs, activities, and projects (PAPs) of the government  
14           departments, agencies, and other instrumentalities.
- 15           b) **Results** refer to changes in a state or condition due to a government  
16           intervention. There are three types of such changes—outputs,  
17           outcomes, and impacts—which can be intended or unintended,  
18           positive and/or negative.
- 19           c) **Evaluation** refers to the systematic and impartial assessment of the  
20           results of government interventions. It provides credible information  
21           on the efficiency, effectiveness, relevance, coherence, impact, and  
22           sustainability of government interventions, enabling the incorporation  
23           of lessons learned into the decision-making process.
- 24           d) **Monitoring** refers to a continuous and systematic collection of data  
25           on key results indicators to track progress in achieving the objectives  
26           of government interventions.



- 1 e) **Outputs** refer to the goods and services delivered to the external  
2 stakeholders of government departments, agencies and other  
3 instrumentalities implementing government interventions.
- 4 f) **Outcomes** refer to the short-term and medium-term benefits to  
5 clients, beneficiaries, and stakeholders, as a result of the outputs of  
6 government interventions.
- 7 g) **Impacts** are higher-level sectoral and societal benefits and other  
8 consequences of government interventions. Impacts take place long  
9 after target individuals, groups, systems or organizations have  
10 experienced the outputs and outcomes of government interventions.

11 **SECTION 5. Coverage.** The RBNEP shall apply to the following:

- 12 a) All departments, agencies, and other instrumentalities of the national  
13 government, including state universities and colleges (SUCs),  
14 constitutional commissions, and government-owned and/ or  
15 controlled corporations (GOCCs); and legislative and judicial branches  
16 of the government;
- 17 b) All government interventions formulated and implemented by the  
18 above entities including those funded by Official Development  
19 Assistance (ODA) and those contracted to and executed by local  
20 government units (LGUs), private sector and civil society  
21 organizations.

22 **SECTION 6. Guiding Principles for Evaluation.** The credibility, quality, and  
23 usefulness of evaluation shall be ensured through adherence to the following principles:

- 24 a) **Utility.** In commissioning or conducting an evaluation, there shall be  
25 a clear intention to use the evaluation findings and recommendations  
26 for results-based formulation, planning, budgeting, implementation,  
27 and oversight of government interventions. The design and timing of

1 evaluations shall address the information needs of government  
2 decision-makers.

3 b) ***Applying evaluation criteria.*** Evaluations shall assess and report  
4 on the relevance, efficiency, effectiveness, coherence, impact and  
5 sustainability of government interventions in accordance with  
6 internationally accepted evaluation criteria. The use of these criteria  
7 shall be responsive to the needs of decision-makers, and to the  
8 purpose and context of evaluation.

9 c) ***Observing evaluation standards.*** Evaluation shall be consistent  
10 with internationally accepted evaluation norms, standards and best  
11 practices, including the use of evaluation designs and methodologies  
12 capable of attributing observed outputs, outcomes and impacts to  
13 government interventions being evaluated. Evaluation reference  
14 groups and other mechanisms shall be established and strengthened  
15 to ensure the generation of credible, quality and useful evaluations.

16 d) ***Independence and Impartiality.*** The independence of the  
17 evaluation units of departments, agencies and other instrumentalities  
18 shall be ensured at all times. Those who design, manage, and conduct  
19 evaluations shall be shielded from any undue influence that will  
20 undermine the credibility of evaluations. They shall be provided with  
21 adequate resources to produce credible, high-quality and useful  
22 evaluation. Evaluation shall be conducted with the highest degree of  
23 impartiality. In case third-party evaluators are commissioned to ensure  
24 impartial evaluation, they shall be selected from a wide and diversified  
25 pool according to objective criteria.

26 e) ***Evaluation Competencies.*** Evaluations shall be conducted by  
27 organizations and individuals having the required knowledge, skills,  
28 and other evaluation competencies. Capacity-building initiatives shall  
29 be implemented to strengthen the evaluation competencies of

1 organizations and individuals who commission, design, manage,  
2 conduct, communicate and use evaluations.

3 f) **Ethics.** Individuals and organizations who commission, manage,  
4 design, and conduct evaluations shall observe accepted ethical  
5 standards including integrity, fairness, gender sensitivity, respect for  
6 culture and beliefs, and protection of the rights of evaluation  
7 participants.

8 g) **Transparency.** The implementation of RBNEP shall promote  
9 transparency crucial to ensuring credible, high-quality and useful  
10 evaluations. To the greatest extent possible, all information required  
11 for evaluation shall be made available to evaluators, subject to existing  
12 laws and regulations governing the confidentiality and nondisclosure  
13 of information.

14 Those who commission or manage evaluation shall ensure the  
15 selection of evaluators with no conflict of interest with the evaluation  
16 to be undertaken. Potential evaluators of government interventions  
17 shall disclose possible conflict of interest that may undermine the  
18 credibility of evaluation. They shall disclose the identities of the  
19 members of the evaluation team.

20 Evaluators shall disclose to government decision-makers and  
21 other stakeholders the purpose, design, implementation, results and  
22 utilization, including possible constraints or limitations of an  
23 evaluation. . Complete evaluation reports shall be made easily  
24 accessible to government decision-makers, relevant stakeholders, and  
25 the public.

26 h) **Accountability.** Entities responsible for the commissioning,  
27 managing and conducting evaluations shall ensure that evaluations are  
28 credible, quality, useful and timely. Key findings and recommendations  
29 of completed evaluations shall be communicated clearly by the same  
30 entities to government decisionmakers and other stakeholders. The

1 covered entities of the RBNEP shall incorporate the use of evaluations  
2 in results-based formulation, planning, budgeting, implementation,  
3 and oversight of government interventions.

4 **SECTION 7. *Establishment of a National Evaluation Council.*** A National  
5 Evaluation Council (NEC) is hereby established to oversee the implementation of the  
6 RBNEP.

7 **SECTION 8. *Composition of the National Evaluation Council.*** The NEC  
8 shall have the following seven (7) voting members:

- 9 a) Secretary of the National Economic and Development Authority  
10 (NEDA), or his/her authorized representative, as Chairperson. The  
11 representative of the NEDA Secretary shall be an Undersecretary in  
12 charge of monitoring and evaluation in NEDA;
- 13 b) Secretary of the Department of Budget and Management (DBM), or  
14 his/her authorized representative, as co-chairperson. The  
15 representative of the DBM Secretary shall at least be an Assistant  
16 Secretary in charge of monitoring and evaluation in the DBM;
- 17 c) Secretary of the Philippine Senate or his/her authorized representative  
18 who shall at least be a career Director in charge of policy, planning,  
19 research and/or evaluation in the Senate;
- 20 d) Secretary General of the House of Representatives or his/her duly  
21 authorized representative who shall at least be a Career Director in  
22 charge of policy, planning, research and/or evaluation in the House of  
23 Representatives;
- 24 e) Court Administrator of the Supreme Court or his/her authorized  
25 representative who shall at least be a career Director in charge of  
26 policy, planning and /or performance monitoring and evaluation;

- 1 f) Chairperson of the Commission on Audit (COA) or his/her authorized  
2 representative who shall at least be a career Director in charge of  
3 policy, planning and /or performance monitoring and evaluation; and  
4 g) Head of the Presidential Management Staff or his/her authorized  
5 representative who shall at least be a career Director in charge of  
6 policy, planning and /or performance monitoring and evaluation.

7 The NEC shall meet at least once every quarter or as often as necessary. To  
8 ensure that the NEC is guided by inputs of evaluation experts, the following shall attend  
9 the NEC meetings as non-voting members:

- 10 a) The head of the Philippine Institute of Development Studies (PIDS)  
11 or his/her duly authorized representative who shall at least be a career  
12 Director in charge of policy, planning, and/or performance monitoring  
13 and evaluation;  
14 b) The head of the Philippine Statistics Authority (PSA) or his/her duly  
15 authorized representative who shall at least be a career Director in  
16 charge of policy, planning, and/or performance monitoring and  
17 evaluation; and  
18 c) Representative from the voluntary organizations for professional  
19 evaluation (VOPES).

20 **SECTION 9. *Functions of the National Evaluation Council.*** The NEC shall  
21 perform the following functions to operationalize the RBNEP:

- 22 a) Provide overall policy direction on the implementation of the RBNEP;  
23 b) Approve the basic guidelines for the conduct of evaluation;  
24 c) Review and approve the National Evaluation Strategy (NES) and  
25 ensure its implementation;  
26 d) Review, approve, and ensure the implementation of, the costed  
27 evaluation agenda of covered entities;

- 1 e) Provide oversight on the conduct of evaluation by covered entities and
- 2 their management response to evaluation recommendations; ;
- 3 f) Issue the basic guidelines on the formation and operation of IEUs of
- 4 covered entities; and
- 5 g) Approve and implement a program to strengthen the evaluation
- 6 capacity of IEUs and government decision-makers.

7 **SECTION 10. *NEC Secretariat and its Functions.*** The NEC Secretariat shall  
8 be established within the NEDA. The existing staffing complement of the NEDA shall be  
9 augmented to undertake the functions of the NEC Secretariat. The NEC Secretariat shall:

- 10 a) Formulate and recommend basic guidelines for the conduct of
- 11 evaluation;
- 12 b) Prepare the National Evaluation Strategy ;
- 13 c) Review and make recommendations on the costed evaluation agenda
- 14 of covered entities;
- 15 d) Monitor the implementation of the entities' evaluation agenda and their
- 16 management response to evaluation recommendation in support of the
- 17 oversight function of the NEC;
- 18 e) Formulate the basic guidelines on the formation and operation of IEUs
- 19 of entities covered;
- 20 f) Provide quality assurance of evaluations conducted by covered
- 21 entities;
- 22 g) Facilitate the dissemination to decision-makers of key findings, lessons
- 23 learned, and recommendations from completed evaluations;
- 24 h) Maintain a public website containing the evaluation plans and reports
- 25 of covered entities;
- 26 i) Develop a program to strengthen the evaluation capacity of covered
- 27 entities and government decisionmakers;
- 28 j) Prepare and submit to the DBM the annual funding requirement of the
- 29 program to strengthen the evaluation capacity of the government; and



- 1                   k) Carry out other directives of the NEC, as necessary.

2                   **SECTION 11. *National Evaluation Strategy.*** The National Evaluation Strategy  
3 (NES) shall identify the priority areas for evaluation in line with the Philippine  
4 Development Plan. It shall guide the formulation of evaluation agenda of the covered  
5 entities.

6                   **SECTION 12. *Organization of Independent Evaluation Units (IEUs) of***  
7 ***Covered Entities.*** Each covered entity shall organize an IEU that shall report directly  
8 to the head of the entity. The head of the entity shall ensure that the IEU can perform  
9 its evaluation functions independently and objectively.

10                  **SECTION 13. *Functions of IEUs.*** The IEUs shall:

- 11                   a) Coordinate the formulation and approval of the costed evaluation  
12 agenda of the covered entity;
- 13                   b) Manage or conduct evaluations identified in the costed evaluation  
14 agenda;
- 15                   c) Submit evaluation plans and final evaluation reports to the entity's  
16 head and to the NEC Secretariat in accordance with prescribed  
17 guidelines;
- 18                   d) Disseminate the key findings and recommendations of completed  
19 evaluations to the head of the entity, decision-makers and other  
20 stakeholders;
- 21                   e) Facilitate the formulation of the management response to key findings  
22 and recommendations from the completed evaluations;
- 23                   f) Monitor the entity's progress in implementing the management  
24 response;
- 25                   g) Establish quality assurance and participatory mechanisms for  
26 evaluation; and

1 h) Provide inputs to results-based formulation, planning, budgeting, and  
2 implementation within the entity.

3 **SECTION 14. *Formulation of the Costed Evaluation Agenda.*** Each covered  
4 entity shall formulate a six-year costed evaluation agenda aligned with the NES. The head  
5 of entity shall submit the costed evaluation agenda to the NEC.

6 **SECTION 15. *Utilization of Evaluation Findings and Recommendations.***  
7 The head of a covered entity shall incorporate the use of evaluations in results-based  
8 formulation, planning, budgeting, implementation, and oversight of government  
9 interventions. He or she shall submit to the NEC the management response to evaluation  
10 recommendations, and ensure its implementation.

11 **SECTION 16. *Funding for the Implementation of the RBNEP.*** The funding  
12 requirement for the implementation of the RBNEP, including the budget for the conduct  
13 of evaluation indicated in the costed evaluation agenda, NEC Secretariat, and IEUs shall  
14 be included in the General Appropriations Act (GAA).

15 **SECTION 17. *Implementing Rules and Regulations (IRR).*** The NEDA, in  
16 consultation with the prospective members of NEC, shall promulgate the IRR to  
17 operationalize the guiding principles of the RBNEP and to implement its specific provisions  
18 within 60 days upon the approval of this Act.

19 **SECTION 18. *Amendment.*** This Act shall be evaluated three years after its  
20 initial implementation. The results of such evaluation shall guide the proposed  
21 amendments of this Act and its IRR.

22 **SECTION 19. *Repealing Clause.*** All laws, decrees, orders, rules and  
23 regulations or other issuances or parts thereof inconsistent with the provisions of this Act  
24 are hereby repealed or modified accordingly.



1           **SECTION 20. *Separability Clause.*** If any portion or provision of this Act is  
2 declared unconstitutional, the remainder of this Act or any provision not affected thereby  
3 shall remain in force and effect.

4           **SECTION. 22. *Effectivity.*** This Act shall take effect after fifteen (15) days  
5 following the completion of its publication in the Official Gazette or in a newspaper of  
6 general circulation in the Philippines.

7           Approved,