#### SIXTEENTH CONGRESS OF THE **REPUBLIC OF THE PHILIPPINES** ) **First Regular Session**



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#### SENATE

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#### Introduced by Senator Antonio "Sonny" F. Trillanes IV

#### **EXPLANATORY NOTE**

The 1987 Constitution under Article II, Section 15 mandates that the State shall protect and promote the right to health of the people and instill health consciousness among them. Further, under Article XIII, Section 11 mandates that State shall adopt an integrated and comprehensive approach to health development.

One aspect of our health which has not been given much priority by the government is mental health. Issues on mental health not only include problems on commonly known mental disorders such as depression, bipolar disorder (manic-depressive illness), attention-deficit/hyperactivity disorder, anxiety disorders and conduct disorder, but more importantly the effects on one's mind of the risks brought by extreme life experiences as well as the psychosocial concerns of daily living. Extreme life experiences such as disasters and armed conflicts as well as large-scale exodus of our skilled workers for jobs overseas contribute to mental disorder problems. In 2007, according to the Philippine Psychiatric Association, up to 20% of adults suffer from mental disorders. Given this dilemma, services for mental health must be available within the public health/hospital system of the country.

This bill seeks to provide a unified, accountable, comprehensive adult mental health service system in the country in accordance with the provisions of the Constitution in promoting the right to health of everyone. The proposed measure seeks to promote the independence and safety of adults with mental illness, and eliminate the abuses done to them by providing appropriate quality service that is consistent with contemporary professional standards in the field of mental health.

In view of the foregoing, immediate approval if this bill is earnestly sought.

ANTONIÒ, "SONNY" F. TRILLANES IV Senator

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#### SENATE

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Introduced by	Senator Antonio "Sonny" F. Trillanes IV
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	AN ACT
ESTABLISHING A COMPREHE COUNTRY, APPROPRIATING FI	ENSIVE ADULT MENTAL HEALTH PROGRAM IN THE UNDS THEREFOR AND FOR OTHER PURPOSE
Be it enacted by the Senate and the H	ouse of Representatives of the Philippines in Congress assembled:
SECTION 1. Title. – Thi	is Act shall be known as the "Comprehensive Adult Mental

4	SEC. 2. Statement of Policy It shall be the policy of the State to protect and promote
5	the right to health of the people and instill health consciousness among them. To this end, the
6	State shall create and ensure a unified, accountable, comprehensive adult mental health service
7	system that:
8	(1) Recognizes the right to of adults with mental illness to control their own lives as fully
9	possible;
10	(2) Promotes the independence and safety of adults with mental illness;
11	(3) Reduces chronicity of mental illness;
12	(4) Eliminates abuse of adults with mental illness;
13	(5) Provides services designed to:
14	(a) Recognize the right of adults with mental illness to control their own lives as fully as
15	possible;
16	(b) Stabilize adults with mental illness;
17	(c) Prevent the development and deepening of mental illness;
4.0	(4) Surgerst and accist adults in resolving mental health problems that impede their

(d) Support and assist adults in resolving mental health problems that impede their
functioning;

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1 (e) promote higher and more satisfying levels of emotional functioning; 2 and (f) promote sound mental health; and 3 (6) provides a quality of service that is effective, efficient, appropriate, and 4 consistent with contemporary professional standards in the field of mental 5 health. б 7 SEC. 3. Definition of Terms. - As used in this Act, the term -8 "Acute care hospital inpatient treatment" means short-term medical, 9 (1)nursing, and psychosocial services provided in an acute care hospital. 10 "Case management services" means activities that are coordinated (2)11 with the community support services program as defined in number 6 and are 12 designed to help adults with serious and persistent mental illness in gaining access 13 to needed medical, social, educational, vocational, and other necessary services as 14 they relate to the client's mental health needs. Case management services include 15 developing a functional assessment, an individual community support plan, 16 referring and assisting the person to obtain needed mental health and other services, 17 ensuring coordination of services, and monitoring the delivery of services. 18 "Case management service provider" means a case manager or case 19 (3) manager associate employed by the local mental health authority or other entity 20 authorized by the local mental health authority to provide case management services. 21 22 (a) A case manager must: (i) be skilled in the process of identifying and assessing a wide 23 24 range of client needs; (ii) be knowledgeable about local community resources and how 25 to use those resources for the benefit of the client; 26 (iii)have a bachelor's degree in one of the behavioral sciences or 27 related fields including, but not limited to, social work, 28 psychology, or nursing from an accredited college or 29 university or meet the requirements of paragraph (3) (b); and 30 (iv) meet the supervision and continuing education requirements 31 described in paragraphs (3) (c), (d), and (e), as applicable. 32 33 (b) Case managers without a bachelor's degree must meet one of the 34 requirements in clauses (i) to (iii):

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 (i) have three or four years of experience as a case manager associate as defined in this section;

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- (ii) be a registered nurse without a bachelor's degree and have a combination of specialized training in psychiatry and work experience consisting of community interaction and involvement or community discharge planning in a mental health setting totaling three years; or
- (c) A case manager with at least 2,000 hours of supervised experience in the delivery of services to adults with mental illness must receive regular ongoing supervision and clinical supervision totaling 38 hours per year of which at least one hour per month must be clinical supervision regarding individual service delivery with a case management supervisor. The remaining 26 hours of supervision may be provided by a case manager with two years of experience. Group supervision may not constitute more than one-half of the required supervision hours. Clinical supervision must be documented in the client record.
  - (d) A case manager without 2,000 hours of supervised experience in the delivery of services to adults with mental illness must:
    - (i) receive clinical supervision regarding individual service delivery from a mental health professional at least one hour per week until the requirement of 2,000 hours of experience is met; and
    - (ii) complete 40 hours of training approved by the commissioner in case management skills and the characteristics and needs of adults with serious and persistent mental illness.
- (e) A case manager who is not licensed, registered, or certified by a health-related licensing board must receive 30 hours of continuing education and training in mental illness and mental health services every two years.
- 31 (f) A case manager associate (CMA) must:
  32 (i) work under the direction of a case manager or case
  33 management supervisor;
  34 (ii) be at least 21 years of age;
  - (iii) have at least a high school diploma or its equivalent; and

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1	(iv) meet one of the following criteria:
2	(aa) have an associate of arts degree in one of the behavioral
3	sciences or human services;
4	(bb) within the previous ten years, have three years of life
5	experience with serious and persistent mental illness as
6	defined in section (3) (20); or as a child had severe
7	emotional disturbance as defined in section (3) (6); or have
8	three years life experience as a primary caregiver to an
9	adult with serious and persistent mental illness within the
10	previous ten years;
11	(cc) have 6,000 hours work experience as a non-degreed state
12	hospital technician; or
13	(dd) be a mental health practitioner as defined in section (3)
14	(15-b).
15	Individuals meeting one of the criteria in items (aa) to (cc), may qualify as a
16	case manager after four years of supervised work experience as a case manager
17	associate. Individuals meeting the criteria in item (dd), may qualify as a case
18	manager after three years of supervised experience as a case manager associate.
19	(g) A case management associate must meet the following supervision,
20	mentoring, and continuing education requirements:
21	(i) have 40 hours of pre-service training;
22	(ii) receive at least 40 hours of continuing education in mental
23	illness and mental health services annually; and
24	(iii) receive at least five hours of mentoring per week from a
25	case management mentor.
26	(iv) A case management supervisor must meet the criteria for
27	mental health professionals, as specified in section $(3)$ (18).
28	(h) An immigrant who does not have the qualifications specified in this
29	subdivision may provide case management services to adult
30	immigrants with serious and persistent mental illness who are
31	members of the same ethnic group as the case manager if the person:
32	(i) is currently enrolled in and is actively pursuing credits toward
33	the completion of a bachelor's degree in one of the behavioral
34	sciences or a related field including, but not limited to, social

work, psychology, or nursing from an accredited college or 1 university; 2 (ii) completes 40 hours of training as specified in this subdivision; 3 and 4 (iii) receives clinical supervision at least once a week until the 5 requirements of this subdivision are met. 6 "Case management mentor" means a qualified, practicing case 7 (4) manager or case management supervisor who teaches or advises and provides 8 intensive training and clinical supervision to one or more case manager associates. 9 Mentoring may occur while providing direct services to consumers in the office or 10 in the field and may be provided to individuals or groups of case manager 11 associates. At least two mentoring hours per week must be individual and face-to-12 13 face. "Commissioner" means the commissioner of human services. (5) 14 "Community support services program" means services, other than 15 (6) inpatient or residential treatment services, provided or coordinated by an identified 16 program and staff under the clinical supervision of a mental health professional 17 designed to help adults with serious and persistent mental illness to function and 18 remain in the community. A community support services program includes: 19 (a) client outreach; 20 (b) medication monitoring; 21 (c) assistance in independent living skills; 22 (d) development of employability and work-related opportunities; 23 (e) crisis assistance; 24 (f) psychosocial rehabilitation; 25 (g) help in applying for government benefits; and, 26 (h) housing support services. 27 "Day treatment," "day treatment services," or "day treatment 28 (7)program" means a structured program of treatment and care provided to an adult. 29 30 Day treatment consists of group psychotherapy and other intensive therapeutic services that are provided at least one day a week by a multidisciplinary staff under 31 the clinical supervision of a mental health professional. Day treatment may include 32 33 education and consultation provided to families and other individuals as part of the 34 treatment process. The services are aimed at stabilizing the adult's mental health 35 status, providing mental health services, and developing and improving the adult's

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independent living and socialization skills. The goal of day treatment is to reduce or relieve mental illness and to enable the adult to live in the community. Day treatment services are not a part of inpatient or residential treatment services. Day treatment services are distinguished from day care by their structured therapeutic program of psychotherapy services.

6 (8) "Diagnostic assessment" means a written summary of the history, 7 diagnosis, strengths, vulnerabilities, and general service needs of an adult with a 8 mental illness using diagnostic, interview, and other relevant mental health 9 techniques provided by a mental health professional used in developing an 10 individual treatment plan or individual community support plan.

"Education and prevention services" means services designed to 11 (9) educate the general public or special high-risk target populations about mental 12 illness, to increase the understanding and acceptance of problems associated with 13 14 mental illness, to increase people's awareness of the availability of resources and services, and to improve people's skills in dealing with high-risk situations known 15 to affect people's mental health and functioning. The services include the 16 distribution of information to individuals and agencies identified by the county 17 board and the local mental health advisory council, on predictors and symptoms of 18 19 mental disorders, where mental health services are available in the county, and how 20 to access the services.

(10) "Emergency services" means an immediate response service available
 on a 24-hour, seven-day-a-week basis for persons having a psychiatric crisis, a
 mental health crisis, or emergency.

(11) "Functional assessment" means an assessment by the case manager of
the adult's:

- 26 (a) mental health symptoms as presented in the adult's diagnostic
  27 assessment;
- 28 (b) mental health needs as presented in the adult's diagnostic assessment;
- 29 (c) use of drugs and alcohol;

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- 30 (d) vocational and educational functioning;
- 31 (e) social functioning, including the use of leisure time;
- 32 (f) interpersonal functioning, including relationships with the adult's
  33 family;
- 34 (g) self-care and independent living capacity;
- 35 (h) medical and dental health;

(i) financial assistance needs;

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(j) housing and transportation needs; and

(k) other needs and problems.

4 (11) "Individual community support plan" means a written plan developed 5 by a case manager on the basis of a diagnostic assessment and functional 6 assessment. The plan identifies specific services needed by an adult with serious 7 and persistent mental illness to develop independence or improved functioning in 8 daily living, health and medication management, social functioning, interpersonal 9 relationships, financial management, housing, transportation, and employment.

10 (12) "Individual placement agreement" means a written agreement or 11 supplement to a service contract entered into between the county board and a 12 service provider on behalf of an individual adult to provide residential treatment 13 services.

14 (13) "Individual treatment plan" means a written plan of intervention, 15 treatment, and services for an adult with mental illness that is developed by a 16 service provider under the clinical supervision of a mental health professional on 17 the basis of a diagnostic assessment. The plan identifies goals and objectives of 18 treatment, treatment strategy, a schedule for accomplishing treatment goals and 19 objectives, and the individual responsible for providing treatment to the adult with 20 mental illness.

(14) "Mental health crisis services" means crisis assessment, crisis
 intervention, and crisis stabilization services.

(15) "Mental health practitioner" means a person providing services to
 persons with mental illness who is qualified in at least one of the following ways:

- (a) holds a bachelor's degree in one of the behavioral sciences or related
  fields from an accredited college or university and:
  (i) has at least 2,000 hours of supervised experience in the delivery of
- 27 (1) has at least 2,000 hours of supervised experience in the derivery of
   28 services to persons with mental illness; or
- (ii) is fluent in the non-English language of the ethnic group to which
  at least 50 percent of the practitioner's clients belong, completes
  40 hours of training in the delivery of services to persons with
  mental illness, and receives clinical supervision from a mental
  health professional at least once a week until the requirement of
  2,000 hours of supervised experience is met;

1	(b) has at least 6,000 hours of supervised experience in the delivery of	
2	services to persons with mental illness;	
3	(c) is a graduate student in one of the behavioral sciences or related	
4	fields and is formally assigned by an accredited college or university	
5	to an agency or facility for clinical training; or	
6	(d) holds a master's or other graduate degree in one of the behavioral	
7	sciences or related fields from an accredited college or university and	
8	has less than 4,000 hours post-master's experience in the treatment of	
9	mental illness.	
10	(16) "Mental health professional" means a person providing clinical	
11	services in the treatment of mental illness who is qualified in at least one of the	
12	following ways:	
13	(a) in psychiatric nursing: a registered nurse; and:	
14	(i) who is certified as a clinical specialist or as a nurse practitioner in	
15	adult or family psychiatric and mental health nursing by a	
16	national nurse certification organization; or	
17	(ii) who has a master's degree in nursing or one of the behavioral	
18	sciences or related fields from an accredited college or university	
19	or its equivalent, with at least 4,000 hours of post-master's	
20	supervised experience in the delivery of clinical services in the	
21	treatment of mental illness;	
22	(b) in clinical social work: a person licensed as an independent clinical	
23	social worker, or a person with a master's degree in social work from	
24	an accredited college or university, with at least 4,000 hours of post-	
25	master's supervised experience in the delivery of clinical services in	
26	the treatment of mental illness;	
27	(c) in psychology: a licensed individual who is competent in the	
28	diagnosis and treatment of mental illness;	
29	(d) in psychiatry: a duly licensed physician or eligible for board	
30	certification in psychiatry;	
31	(e) in marriage and family therapy: the mental health professional must	
32	be an eligible marriage and family therapist with at least two years of	
33	post-master's supervised experience in the delivery of clinical	
34	services in the treatment of mental illness; or	

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(f) in allied fields: a person with a master's degree from an accredited college or university in one of the behavioral sciences or related fields, with at least 4,000 hours of post-master's supervised experience in the delivery of clinical services in the treatment of mental illness.

6 (17) "Mental health services" means all of the treatment services and case 7 management activities that are provided to adults with mental illness and are 8 described in this Act.

9 (18) "Mental illness" means an organic disorder of the brain or a clinically 10 significant disorder of thought, mood, perception, orientation, memory, or behavior 11 that seriously limits a person's capacity to function in primary aspects of daily 12 living such as personal relations, living arrangements, work, and recreation.

13 (19) "Adult with acute mental illness" means an adult who has a mental
14 illness that is serious enough to require prompt intervention.

15 (20) For purposes of case management and community support services, a 16 "person with serious and persistent mental illness" means an adult who has a 17 mental illness and meets at least one of the following criteria:

- (a) the adult has undergone two or more episodes of inpatient care for a
  mental illness within the preceding 24 months;
- (b) the adult has experienced a continuous psychiatric hospitalization or
   residential treatment exceeding six months' duration within the
   preceding 12 months;
- 23 (c) the adult has been treated by a crisis team two or more times within
  24 the preceding 24 months;

25 (d) the adult:

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(i) has	a	diagnosis	of	schizophrenia,	bipolar	disorder,	major
depi	res	sion, or bo	rder	line personality	disorder;		

(ii) indicates a significant impairment in functioning; and

(iii) has a written opinion from a mental health professional, in
the last three years, stating that the adult is reasonably likely
to have future episodes requiring inpatient or residential
treatment, of a frequency described in clause (a) or (b), unless
ongoing case management or community support services are
provided;

- (e) the adult has, in the last three years, been committed by a court as a person who is mentally ill or the adult's commitment has been stayed or continued; or
- (f) the adult [1] was eligible under clauses (a) to (e), but the specified time period has expired or the adult was eligible as a child; and [2] has a written opinion from a mental health professional, in the last three (3) years, stating that the adult is reasonably likely to have future episodes requiring inpatient or residential treatment, of a frequency described in clause (a) or (b), unless ongoing case management or community support services are provided.

11 (21) "Outpatient services" means mental health services, excluding day 12 treatment and community support services programs, provided by or under the 13 clinical supervision of a mental health professional to adults with mental illness 14 who live outside a hospital. Outpatient services include clinical activities such as 15 individual, group, and family therapy; individual treatment planning; diagnostic 16 assessments; medication management; and psychological testing.

- 17 (22) "Regional treatment center inpatient services" means the 24-hour-a18 day comprehensive medical, nursing, or psychosocial services provided in a
  19 regional treatment center operated by the government.
- (23) "Residential treatment" means a 24-hour-a-day program under the
  clinical supervision of a mental health professional, in a community residential
  setting other than an acute care hospital or regional treatment center inpatient unit,
  that must be licensed as a residential treatment program for adults with mental
  illncss.
- (24) "Service provider" means either a county board or an individual or
   agency including a regional treatment center under contract with the county board
   that provides adult mental health services funded by sections.
- 28 (25) "Significant impairment in functioning" means a condition, including 29 significant suicidal ideation or thoughts of harming self or others, which harmfully 30 affects, recurrently or consistently, a person's activities of daily living in 31 employment, housing, family and social relationships, or education.
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#### SEC. 4. Planning for a Mental Health System. -

34 (1) Planning effort - Starting on the effective date of this Act, the Secretary
 35 of the Department of Health and the local mental health authorities shall plan for the

development of a unified, accountable, and comprehensive statewide mental health
 system. The system must be planned and developed by stages until it is operating at full
 capacity.

4 (2) Technical assistance - The DOH Secretary shall provide ongoing 5 technical assistance to local mental health authorities to improve system capacity and 6 quality. They shall also exchange information as needed about the numbers of adults with 7 mental illness residing in the county and extent of existing treatment components locally 8 available to serve the needs of those persons. Local mental health authorities shall 9 cooperate with the DOH Secretary in obtaining necessary planning information upon 10 request.

Report on increase in community-based residential programs - The (3) 11 DOH Secretary and other concerned agencies shall study and submit to the legislature by 12 six (6) months after the passage of this Act, a report and recommendations regarding (1) 13 plans and fiscal projections for increasing the number of community-based beds, small 14 community-based residential programs, and support services for persons with mental 15 illness, including persons for whom nursing home services are inappropriate, to serve all 16 17 persons in need of those programs; and (2) the projected fiscal impact of maximizing the availability of medical assistance coverage for persons with mental illness. 18

(4) Review of funding - The commissioner shall complete a review of
funding for mental health services and make recommendations for any changes needed.
The commissioner shall submit a report on the review and recommendations to the
legislature five (5) months after the passage of this Act.

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#### SEC. 5. Coordination of Mental Health System. -

25 Coordination - The commissioner shall supervise the development and (1) 26 coordination of locally available adult mental health services by the county boards in a manner consistent with sections of this Act. The commissioner shall coordinate locally 27 28 available services with those services available from the regional treatment center serving 29 the area including state-operated services offered at sites outside of the regional treatment 30 centers. The commissioner shall provide technical assistance to county boards in 31 developing and maintaining locally available mental health services. The commissioner 32 shall monitor the county board's progress in developing its full system capacity and 33 quality through ongoing review of the county board's adult mental health component of 34 the community social services plan and other information as required by under this Act.

Priorities - Six months after the enactment of this Act, the commissioner 1 (2) 2 shall require that each of the treatment services and management activities described in sections 10 to 20 are developed for adults with mental illness within available resources 3 based on the following ranked priorities: 4 (a) the provision of locally available emergency services; 5 (b) the provision of locally available services to all adults with serious б and persistent mental illness and all adults with acute mental illness; 7 (c) the provision of specialized services regionally available to meet the 8 special needs of all adults with serious and persistent mental illness 9 and all adults with acute mental illness; 10 (d) the provision of locally available services to adults with other mental 11 illness; and 12 (e) the provision of education and preventive mental health services 13 targeted at high-risk populations. 14 Public-private partnerships - The DOH may establish a mechanism by (3) 15 which local mental health authorities, hospitals, health plans, consumers, providers, and 16 others may enter into agreements that allow for capacity building and oversight of any 17 agreed-upon entity that is developed through these partnerships. The purpose of these 18 partnerships is the development and provision of mental health services which would be 19 more effective, efficient, and accessible than services that might be provided separately 20 21by each partner. 22 SEC. 6. Duties of Local Mental Health Authorities. -23 Use of mental health funds - The local mental health authorities shall 24 (1)use its share of mental health funds allocated by the DOH according to the mental health 25 plan approved by the Secretary. The local mental health authorities must: 26 (a) develop and coordinate a system of affordable and locally available 27 adult mental health services in accordance with the provisions of this 28 29 Act; (b) with the involvement of the local adult mental health advisory 30 council or the adult mental health subcommittee of an existing 31

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council or the adult mental health subcommittee of an existing advisory council, develop a biennial adult mental health plan which considers the assessment of unmet needs in the locality as reported by the local adult mental health advisory council under section 7 clause 5, clause (3). The local mental health authorities shall provide, upon request of the local adult mental health advisory council, readily available data to assist in the determination of unmet needs;

- (c) provide for case management services to adults with serious and persistent mental illness in accordance with sections section 3 clause 3 and 4;
- 6 (d) provide for screening of adults specified in section 15 upon
  7 admission to a residential treatment facility or acute care hospital
  8 inpatient, or informal admission to a regional treatment center;
  - (e) prudently administer grants and purchase-of-service contracts that the local mental health authority board determines are necessary to fulfill its responsibilities under this Act; and
- 12 (f) assure that mental health professionals, mental health practitioners, 13 and case managers employed by or under contract with the county to 14 provide mental health services have experience and training in 15 working with adults with mental illness.

16 (2) Responsibility not duplicated - For individuals who have health care 17 coverage, the county board is not responsible for providing mental health services which 18 are within the limits of the individual's health care coverage.

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#### SEC. 7. Local Service Delivery System. -

(1) Development of services - The local mental health authority board in 21each locality is responsible for using all available resources to develop and coordinate a 22 system of locally available and affordable adult mental health services. The local mental 23 health authority board may provide some or all of the mental health services and 24 25 activities specified in paragraph 2 directly through a local mental health authority or 26 under contracts with other individuals or agencies. A local mental health authority or local mental health authorities may enter into an agreement with a regional treatment 27 center or with any state facility or program, to enable the local mental health authority or 28 29 local mental health authorities to provide the treatment services in paragraph 2. Services 30 provided through an agreement between a local mental health authority and a regional 31 treatment center must meet the same requirements as services from other service 32 providers.

33 (2) Adult mental health services - The adult mental health service system
 34 developed by each county board must include the following services:

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(a) education and prevention services in accordance with section 9;

1	(b) emergency services in accordance with section 10;
2	(c) outpatient services in accordance with section 11;
3	(d) case management in accordance with in accordance with the
4	definition of case management service;
5	(e) residential treatment services in accordance with section 12;
б	(f) acute care hospital inpatient treatment services in accordance with
7	section 13;
8	(g) regional treatment center inpatient services in accordance with
9	section 14; and
10	(h) screening in accordance with section 16.
11	(3) Local contracts - Effective January 1, 2010, the local mental health
12	authority board shall review all proposed local mental health authority agreements,
13	grants, or other contracts related to mental health services for funding from any local, or
14	state sources. Contracts with service providers must:
15	(a) name the commissioner as a third party beneficiary;
16	(b) identify monitoring and evaluation procedures which are necessary to
1 <b>7</b>	ensure effective delivery of quality services;
18	(c) include a provision that makes payments conditional on compliance
19	by the contractor and all subcontractors with this Act and all other
20	applicable laws, rules, and standards; and
21	(d) require financial controls and auditing procedures.
22	(4) Joint local mental health authority mental health agreements - In
23	order to provide efficiently the services required by this Act, local mental health
24	authorities are encouraged to join with one or more local mental health authority boards
25	to establish or enter multi-local mental health agreements. Participating local mental
26	health authority boards shall establish acceptable ways of apportioning the cost of the
27	services.
28	(5) Local advisory council - The local mental health authority board,
29	individually or in conjunction with other local mental health authority boards, shall

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individually or in conjunction with other local mental health authority boards, shall establish a local adult mental health advisory council or mental health subcommittee of an existing advisory council. The council's members must reflect a broad range of community interests. They must include at least one consumer, one family member of an adult with mental illness, one mental health professional, and one community support services program representative. The local adult mental health advisory council or mental health subcommittee of an existing advisory council shall meet at least quarterly to

review, evaluate, and make recommendations regarding the local mental health system. 1

2 Annually, the local adult mental health advisory council or mental health subcommittee of an existing advisory council shall: 3

- (a) arrange for input from the regional treatment center's mental illness 4 program unit regarding coordination of care between the regional 5 treatment center and community-based services; 6
- (b) identify for the county board the individuals, providers, agencies, and 7 associations as specified in section 3 paragraph 9; 8
- (c) provide to the county board a report of unmet mental health needs of 9 adults residing in the county to be included in the county's mental 10 health plan, and participate in developing the mental health plan; and 11
- (d) coordinate its review, evaluation, and recommendations regarding the 12 local mental health system with the state advisory council on mental 13 14 health.

The county board shall consider the advice of its local mental health 15 advisory council or mental health subcommittee of an existing advisory council in 16 carrying out its authorities and responsibilities. 17

- Other local authority The local mental health authority board may 18 (6) establish procedures and policies that are not contrary to those of the DOH or this Act 19 regarding local adult mental health services and facilities. The county board shall perform 20 other acts necessary to carry out the provisions of this Act. 21
- 22 (7) IMD downsizing flexibility - If a local mental health authority presents a budget-neutral plan for a net reduction in the number of institution for mental disease 23 (IMD) beds funded under group residential housing, the commissioner may transfer the 24 25 net savings from group residential housing and general assistance medical care to medical assistance and mental health grants to provide appropriate services in non-IMD settings. 26 27 For the purposes of this subdivision, "a budget neutral plan" means a plan that does not 28 increase the state share of costs.
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## SEC. 8. Quality of Services. -

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# (a) based, when feasible, on research findings;

(b) based on individual clinical needs, cultural and ethnic needs, and 33 34 other special needs of individuals being served;

Criteria - Mental health services required by this chapter must be:

- (c) provided in the most appropriate, least restrictive setting available to
   the local mental health authority;
   (d) accessible to all age groups;
  - (e) delivered in a manner that provides accountability;
  - (f) provided by qualified individuals as required in this chapter;
  - (g) coordinated with mental health services offered by other providers; and
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(h) provided under conditions which protect the rights and dignity of the individuals being served.

Diagnostic assessment - All providers of residential, acute care hospital 10 (2)inpatient, and regional treatment centers must complete a diagnostic assessment for each 11 of their clients within five days of admission. Providers of outpatient and day treatment 12 services must complete a diagnostic assessment within five days after the adult's second 13 visit or within 30 days after intake, whichever occurs first. In cases where a diagnostic 14 assessment is available and has been completed within 180 days preceding admission, 15 16 only updating is necessary. "Updating" means a written summary by a mental health professional of the adult's current mental health status and service needs. If the adult's 17 18 mental health status has changed markedly since the adult's most recent diagnostic 19 assessment, a new diagnostic assessment is required.

Individual treatment plans - All providers of outpatient services, day 20 (3)treatment services, residential treatment, acute care hospital inpatient treatment, and all 21 regional treatment centers must develop an individual treatment plan for each of their 22 adult clients. The individual treatment plan must be based on a diagnostic assessment. To 23 24 the extent possible, the adult client shall be involved in all phases of developing and 25 implementing the individual treatment plan. Providers of residential treatment and acute 26 care hospital inpatient treatment, and all regional treatment centers must develop the individual treatment plan within ten days of client intake and must review the individual 27 28 treatment plan every 90 days after intake. Providers of day treatment services must 29 develop the individual treatment plan before the completion of five working days in which service is provided or within 30 days after the diagnostic assessment is completed 30 or obtained, whichever occurs first. Providers of outpatient services must develop the 31 individual treatment plan within 30 days after the diagnostic assessment is completed or 32 obtained or by the end of the second session of an outpatient service, not including the 33 session in which the diagnostic assessment was provided, whichever occurs first. 34

Outpatient and day treatment services providers must review the individual treatment
 plan every 90 days after intake.

3 Referral for case management - Each provider of emergency services. (4) 4 day treatment services, outpatient treatment, community support services, residential treatment, acute care hospital inpatient treatment, or regional treatment center inpatient 5 б treatment must inform each of its clients with serious and persistent mental illness of the 7 availability and potential benefits to the client of case management. If the client consents, 8 the provider must refer the client by notifying the county employee designated by the 9 county board to coordinate case management activities of the client's name and address 10 and by informing the client of whom to contact to request case management. The provider must document compliance with this subdivision in the client's record. 11

12 (5) Information for billing - Each provider of outpatient treatment, 13 community support services, day treatment services, emergency services, residential 14 treatment, or acute care hospital inpatient treatment must include the name and home 15 address of each client for whom services are included on a bill submitted to a county, if 16 the client has consented to the release of that information and if the county requests the 17 information. Each provider shall attempt to obtain each client's consent and must explain 18 to the client that the information can only be released with the client's consent and may 19 be used only for purposes of payment and maintaining provider accountability. The 20 provider shall document the attempt in the client's record.

(6) Restricted access to data - The local mental health board shall establish
 procedures to ensure that the names and addresses of persons receiving mental health
 services are disclosed only to:

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 (a) local mental health employees who are specifically responsible for determining the local financial responsibility or making payments to providers; and

(b) staff who provide treatment services or case management and their
clinical supervisors.

29 Release of mental health data on individuals other than those specified in 30 this subdivision, or use of this data for purposes other than those stated above may 31 result in civil or criminal liability under this Act.

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33 SEC. 9. Education and Prevention Services. - By January 1, 2010, local mental
 34 health boards must provide or contract for education and prevention services to adults
 35 residing in the locality. Education and prevention services must be designed to:

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	1	(1) convey information regarding mental illness and treatment resources
	2	to the general public and special high-risk target groups;
	3	(2) increase understanding and acceptance of problems associated with
	4	mental illness;
	5	(3) improve people's skills in dealing with high-risk situations known to
	6	have an impact on adults' mental health functioning;
	7	(4) prevent development or deepening of mental illness; and
	8	(5) refer adults with additional mental health needs to appropriate mental
	9 10	health services.
	10	SEC. 10. Empreur au Servicer
	11	SEC. 10. Emergency Services. –
	12	(1) Availability of emergency services - By January 1, 2010, local mental
	13	health boards must provide or contract for enough emergency services within the locality
	14	to meet the needs of adults in their locality who are experiencing an emotional crisis or
	15 16	mental illness. Clients may be required to pay a fee according to section 17 of this Act. Emergency services must include assessment, crisis intervention, and appropriate case
	10	disposition. Emergency services must:
	18	(a) promote the safety and emotional stability of adults with mental
	10	illness or emotional crises;
	20	(b) minimize further deterioration of adults with mental illness or
	20	emotional crises;
	22	(c) help adults with mental illness or emotional crises to obtain ongoing
	22	care and treatment; and
	25	(d) prevent placement in settings that are more intensive, costly, or
	25	restrictive than necessary and appropriate to meet client needs.
	26	(2) Specific requirements -
	27	(a) The local health board shall require that all service providers of
	28	emergency services to adults with mental illness provide immediate
	29	direct access to a mental health professional during regular business
	30	hours. For evenings, weekends, and holidays, the service may be by
	31	direct toll free telephone access to a mental health professional, a
	32	mental health practitioner, or a designated person with training in
	33	human services who receives clinical supervision from a mental
	34	health professional.
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1	(b) The DOH Secretary may waive the requirement in paragraph (a) that
2	the evening, weekend, and holiday service be provided by a mental
3	health professional or mental health practitioner if the local mental
4	health authority documents that:
5	(i) mental health professionals or mental health practitioners are
6	unavailable to provide this service;
7	(ii) services are provided by a designated person with training in
8	human services who receives clinical supervision from a mental
9	health professional; and
10	(iii) the service provider is not also the provider of fire and public
11	safety emergency services.
12	(c) The DOH Secretary may waive the requirement in paragraph (b),
13	clause (iii), that the evening, weekend, and holiday service not be
14	provided by the provider of fire and public safety emergency services
15	if:
16	(i) every person who will be providing the first telephone contact
17	has received at least eight hours of training on emergency
18	mental health services reviewed by the local mental health
19	advisory council on mental health and then approved by the
20	• DOH Secretary;
21	(ii) every person who will be providing the first telephone contact
22	will annually receive at least four hours of continued training on
23	emergency mental health services reviewed by the local mental
24	health advisory council on mental health and then approved by
25	the DOH;
26	(iii) the local social service agency has provided public education
27	about available emergency mental health services and can
28	assure potential users of emergency services that their calls will
29	be handled appropriately;
30	(iv) the local social service agency agrees to provide the DOH with
31	accurate data on the number of emergency mental health service
32	calls received;
33	(v) the local social service agency agrees to monitor the frequency
34	and quality of emergency services; and

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1 (vi) the local social service agency describes how it will comply 2 with paragraph (iv). 3 (d) Whenever emergency service during non-business hours is provided 4 by anyone other than a mental health professional, a mental health 5 professional must be available on call for an emergency assessment 6 and crisis intervention services, and must be available for at least 7 telephone consultation within 30 minutes. 8 SEC. 11. Outpatient Services. -9 10 (1)Availability of outpatient services. (a) Local mental health boards must provide or contract for enough 11 outpatient services within the locality to meet the needs of adults 12 13 with mental illness residing in that locality. Services may be provided directly by the local mental health authority through locally-operated 14 mental health centers or mental health clinics approved by the DOH 15 Secretary under section 10; by contract with privately operated 16 mental health centers or mental health clinics approved by the DOH 17 18 Secretary under section 10; by contract with hospital mental health 19 outpatient programs; or by contract with a licensed mental health 20 professional as defined in section 3. Clients may be required to pay a fee according to section 17. Outpatient services include: 21 22 (i) conducting diagnostic assessments; 23 (ii) conducting psychological testing; 24 (iii) developing or modifying individual treatment plans; 25 (iv) making referrals and recommending placements as appropriate; (v) treating an adult's mental health needs through therapy; 26 27 (vi) prescribing and managing medication and evaluating the 28 effectiveness of prescribed medication; and 29 (vii) preventing placement in settings that are more intensive, 30 costly, or restrictive than necessary and appropriate to meet 31 client needs. 32 (b) Local mental health boards may request a waiver allowing outpatient 33 services to be provided in a nearby trade area if it is determined that 34 the client can best be served outside the locality.

Specific requirements - The local mental health board shall require that 1 (2)2 all service providers of outpatient services: (a) meet the professional qualifications contained of this Act; 3 4 (b) use a multidisciplinary mental health professional staff including at a minimum, arrangements for psychiatric consultation, licensed 5 psychologist consultation, and other necessary multidisciplinary 6 mental health professionals; 7 (c) develop individual treatment plans; 8 (d) provide initial appointments within three weeks, except in 9 emergencies where there must be immediate access as described in 10 section 10; and 11 (e) establish fee schedules approved by the local mental health board that 12 are based on a client's ability to pay. 13 14 SEC. 12. Residential Treatment Services. -15 Availability of residential treatment services - By January 1, 2010, 16 (1)17 local mental health boards must provide or contract for enough residential treatment services to meet the needs of all adults with mental illness residing in the locality and 18 needing this level of care. Residential treatment services include both intensive and 19 structured residential treatment with length of stay based on client residential treatment 20 need. Services must be as close to the locality as possible. Residential treatment must be 2122 designed to: 23 (a) prevent placement in settings that are more intensive, costly, or restrictive than necessary and appropriate to meet client needs; 24 25 (b) help clients achieve the highest level of independent living; 26 (c) help clients gain the necessary skills to function in a less structured 27setting; and 28 (d) stabilize crisis admissions. 29 Specific requirements - Providers of residential services must be (2)30 licensed under applicable rules adopted by the DOH Secretary and other concerned 31 agencies, and must be clinically supervised by a mental health professional. 32 Transition to community - Residential treatment programs must plan (3) for and assist clients in making a transition from residential treatment facilities to other 33 34 community-based services. In coordination with the client's case manager, if any, 35 residential treatment facilities must also arrange for appropriate follow-up care in the

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community during the transition period. Before a client is discharged, the residential
 treatment facility must notify the client's case manager, so that the case manager can
 monitor and coordinate the transition and arrangements for the client's appropriate
 follow-up care in the community.

Admission, continued stay, and discharge criteria - No later than 5 (4)January 1, 2011, the local mental health board shall ensure that placement decisions for 6 7 residential services are based on the clinical needs of the adult. The local mental health board shall ensure that each entity under contract with the locality to provide residential 8 treatment services has admission, continued stay, discharge criteria and discharge 9 planning criteria as part of the contract. Contracts shall specify specific responsibilities 10 between the county and service providers to ensure comprehensive planning and 11 continuity of care between needed services according to data privacy requirements. All 12 contracts for the provision of residential services must include provisions guaranteeing 13 14 clients the right to appeal under section 16 and to be advised of their appeal rights.

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#### SEC. 13. Acute Care Hospital Inpatient Services. -

17 (1) Availability of acute care inpatient services - By January 1, 2010, 18 local mental health boards must make available through contract or direct provision 19 enough acute care hospital inpatient treatment services as close to the locality as possible 20 for adults with mental illness residing in that locality. Acute care hospital inpatient 21 treatment services must be designed to:

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admission is required;

24 (b) improve functioning to the point where discharge to residential 25 treatment or community-based mental health services is possible; and

(a) stabilize the medical and mental health condition for which

26 (c) facilitate appropriate referrals for follow-up mental health care in the
27 community.

(2) Specific requirements - Providers of acute care hospital inpatient
 services must meet applicable standards established by the commissioners of health and
 human services.

31 (3) Admission, continued stay, and discharge criteria - No later than 32 January 1, 2011, the county board shall ensure that placement decisions for acute care 33 inpatient services are based on the clinical needs of the adult. The county board shall 34 ensure that each entity under contract with the county to provide acute care hospital 35 treatment services has admission, continued stay, discharge criteria and discharge

planning criteria as part of the contract. Contracts shall specify specific responsibilities between the county and service providers to ensure comprehensive planning and continuity of care between needed services according to data privacy requirements. All contracts for the provision of acute care hospital inpatient treatment services must include provisions guaranteeing clients the right to appeal under section 16 and to be advised of their appeal rights.

7 (4) Individual placement agreement - The local mental health board shall 8 enter into an individual placement agreement with a provider of acute care hospital 9 inpatient treatment services to an adult eligible for services under this section. The 10 agreement must specify the payment rate and the terms and conditions of local mental 11 health authority payment for the placement.

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### SEC. 14. – Regional Treatment Center Inpatient Services. –

14 (1) Availability of regional treatment center inpatient services - By 15 January 1, 2011, the commissioner shall make sufficient regional treatment center 16 inpatient services available to adults with mental illness throughout the country who need 17 this level of care. Inpatient services may be provided either on the regional treatment 18 center campus or at any local facility. Services must be as close to the patient's locality of 19 residence as possible. Regional treatment centers are responsible to:

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- (a) provide acute care inpatient hospitalization;
- (b) stabilize the medical and mental health condition of the adult
  requiring the admission;
  - (c) improve functioning to the point where discharge to communitybased mental health services is possible;
- 25 (d) strengthen family and community support; and
- 26 (e) facilitate appropriate discharge and referrals for follow-up mental
  27 health care in the community.

**Quality of service** - The DOH Secretary with the help of other concerned 28 (2)agencies shall biennially determine the needs of all adults with mental illness who are 29 served by regional treatment centers or at any local facility or program by administering a 30 client-based evaluation system. The client-based evaluation system must include at least 31 the following independent measurements: behavioral development assessment; 32 33 habilitation program assessment; medical needs assessment; maladaptive behavioral assessment; and vocational behavior assessment. The DOH Secretary shall propose staff 34 ratios to the legislature for the mental health and support units in regional treatment 35

centers as indicated by the results of the client-based evaluation system and the types of
 locally-operated services needed. The proposed staffing ratios shall include professional,
 nursing, direct care, medical, clerical, and support staff based on the client-based
 evaluation system. The DOH Secretary and other concerned agencies shall re-compute
 staffing ratios and recommendations on a biennial basis.

6 (3)Transition to community - Regional treatment centers must plan for 7 and assist clients in making a transition from regional treatment centers and other 8 inpatient facilities or programs to other community-based services. In coordination with 9 the client's case manager, if any, regional treatment centers must also arrange for appropriate follow-up care in the community during the transition period. Before a client 10 11 is discharged, the regional treatment center must notify the client's case manager, so that the case manager can monitor and coordinate the transition and arrangements for the 12 13 client's appropriate follow-up care in the community.

(4) Staff safety training - The DOH Secretary shall require all staff in
15 • mental health and support units at regional treatment centers who have contact with
persons with mental illness or severe emotional disturbance to be appropriately trained in
violence reduction and violence prevention and shall establish criteria for such training.
Training programs shall be developed with input from consumer advocacy organizations
and shall employ violence prevention techniques as preferable to physical interaction.

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SEC. 15. Screening for Inpatient and Residential Treatment. - The DOH Secretary shall review the statutory preadmission screening requirements for psychiatric hospitalization, both in the regional treatment centers and other hospitals, to determine if changes in preadmission screening are needed. The commissioner shall deliver a report of the review to the legislature by January 31, 26 2012.

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SEC. 16. Appeals. - Any adult who requests mental health services under this Act must be advised of services available and the right to appeal at the time of the request and each time the individual community support plan or individual treatment plan is reviewed. Any adult whose request for mental health services under this Act is denied, not acted upon with reasonable promptness, or whose services are suspended, reduced, or terminated by action or inaction for which the local mental health board is responsible under this Act may contest that action or

1 SEC. 17. Fees for Mental Health Services. - A client or, in the case of a child, the child or the child's parent may be required to pay a fee for mental health 2 3 services provided under this Act. The fee must be based on the person's ability to 4 pay according to the fee schedule adopted by the local mental health board. In 5 adopting the fee schedule for mental health services, the local mental health board may adopt the fee schedule provided by the DOH Secretary or adopt a fee schedule 6 7 recommended by the local mental health board and approved by the DOH 8 Secretary. Agencies or individuals under contract with a local mental health board to provide mental health services under this Act must not charge clients whose 9 mental health services are paid wholly or in part from public funds fees which 10 exceed the local mental health board's adopted fee schedule. 11

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#### SEC. 18. Reporting and Evaluation. -

14 (1) Reports - The DOH Secretary shall specify requirements for reports,
 15 including quarterly fiscal reports.

16 (2) Fiscal reports - The DOH Secretary shall develop a unified format for 17 quarterly fiscal reports that will include information that the DOH Secretary determines 18 necessary to carry out this Act. The local mental health board shall submit a completed 19 fiscal report in the required format no later than 30 days after the end of each quarter.

(3) Program reports - The DOH Secretary shall develop unified formats for
 reporting, which will include information that the DOH Secretary determines necessary
 to carry out the provisions of this Act. The local mental health board shall submit
 completed program reports in the required format according to the reporting schedule
 developed by the DOH Secretary.

(4) Provider reports - The DOH Secretary may develop formats and
procedures for direct reporting from providers to the DOH Secretary to include
information that the Secretary determines necessary to carry out the provisions of this
Act. In particular, the provider reports must include aggregate information by local
mental health authorities of residence about mental health services paid for by funding
sources other than that of the local mental health authorities.

31 (5) DOH Secretary's consolidated reporting recommendations - The 32 Secretary's reports required under this Act shall include recommended measures to 33 provide coordinated, interdepartmental efforts to ensure early identification and 34 intervention for children with, or at risk of developing, emotional disturbance, to improve the efficiency of the mental health funding mechanisms, and to standardize and consolidate fiscal and program reporting. The recommended measures must provide that client needs are met in an effective and accountable manner and that the local government resources are used as efficiently as possible.

5 (6) Inaccurate or incomplete reports - The DOH Secretary shall promptly 6 notify a local mental health authority or provider if a required report is clearly inaccurate 7 or incomplete. The Secretary may delay all or part of a mental health fund payment if an 8 appropriately completed report is not received as required by this section.

9 (7) Statewide evaluation - The DOH Secretary shall use the local mental 10 health and provider reports required by this section to complete the nationwide report 11 required in this Act.

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#### SEC. 19. Termination or Return of an Allocation. -

14 (1) Funds not properly used - If the commissioner determines that a local 15 mental health authority is not meeting the requirements of this Act, or that funds are not 16 being used according to the approved mental health plan, all or part of the mental health 17 funds may be terminated upon 30 days' notice to the local mental health board. The DOH 18 Secretary may require repayment of any funds not used according to the approved mental 19 health plan. The 30-day period begins when the local mental health board receives the 20 DOH Secretary's notice by certified mail.

(2) Use of returned funds - The DOH Secretary may reallocate the funds
 returned.

23 Delayed payments - If the DOH Secretary finds that a local mental (3) 24 health board or its contractors are not in compliance with the approved mental health plan 25 or sections of this Act, the Secretary may delay payment of all or part of the quarterly 26 mental health funds until the local mental health board and its contractors meet the 27requirements. The Secretary shall not delay a payment longer than three months without first issuing a notice under paragraph 2 that all or part of the allocation will be terminated 28 or required to be repaid. After this notice is issued, the commissioner may continue to 29 30 delay the payment until completion of the requirements specified in paragraph 2.

31 (4) State assumption of responsibility - If the DOH Secretary determines 32 that services required by sections of this Act will not be provided by the local mental 33 health authority in the manner or to the extent required by the provisions of this Act, the 34 Secretary shall contract directly with providers to ensure that clients receive appropriate 35 services. In this case, the Secretary shall use the local mental health authority's mental Secretary shall contract directly with providers to ensure that clients receive appropriate services. In this case, the Secretary shall use the local mental health authority's mental health funds to the extent necessary to carry out the local mental health authority's responsibilities under this Act. The Secretary shall work with the local mental health board to allow for a return of authority and responsibility to the local mental health board as soon as compliance with this Act can be assured.

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> 8 SEC. 20. *Implementing Rules and Regulations* - The Department of Health, the 9 Department of Interior and Local Government, and other agencies concerned with mental 10 health, shall jointly formulate the necessary rules and regulations for the effective 11 implementation of this Act.

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13 SEC. 21. Report. – By July 15, 2011, or six (6) months after the Implementing 14 Rules and Regulations of this Act was adopted, and annually after, DOH Secretary shall 15 report to the legislature on all steps taken and recommendations for full implementation 16 of this Act and on additional resources needed to further implement those sections.

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18 SEC. 22. Housing for Adult Mental Health Patients. - The government shall
 19 ensure that the housing services provided as part of a comprehensive mental health
 20 service system:

(1) allow all persons with mental illness to live in stable, affordable housing, in
 settings that maximize community integration and opportunities for acceptance;

(2) allow persons with mental illness to actively participate in the selection of
their housing from those living environments available to the general public; and,

25 (3) provide necessary support regardless of where persons with mental illness26 choose to live.

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SEC. 23. Appropriation. - The amount necessary for the implementation of this
 Act shall be charged against the appropriations of the DOH and shall be included in the
 General Appropriations Act hereinafter.

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32 SEC. 24. Separability Clause. - If any provisions or part hereof, is held invalid
 33 or unconstitutional, the remainder of the law of the provision not otherwise affected shall
 34 remain valid and subsisting.

1 SEC. 25. *Repealing Clause.* - All laws, decrees, executive orders, rules and 2 regulations inconsistent with this Act, are hereby repealed, amended or modified 3 accordingly.

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5 SEC. 26. *Effectivity Clause.* - This Act shall take effect fifteen (15) days 6 following its complete publication in the Official Gazette or in two (2) national 7 newspapers of general circulation.

Approved,